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APROVED  
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# **„MIHAI VITEAZUL” NATIONAL INTELLIGENCE ACADEMY**

## **REGULATION ON THE ORGANIZATION AND FUNCTIONING OF IOSUD ANIMV**

The Regulation was approved in the ANIMV Senate meeting of February 27, 2025

**BUCHAREST  
2025**

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## ***Section 1. Legal basis***

### **Article1.**

This Regulation shall be based on:

1. Law of higher education No. 199/2023, with subsequent amendments and completions;
2. Order of the Minister of Education No. 3020 of January 8, 2024 on the approval of the Framework regulations on doctoral university studies.

## ***Section 2. General provisions***

### **Article2.**

- (1) "Mihai Viteazul" National Intelligence Academy is a higher education institution accredited to organize and conduct study programs specific to the third cycle of university studies.
- (2) The legal representative of IOSUD ANIMV is the Commandant of the "Mihai Viteazul" National Intelligence Academy.
- (3) The Regulation on the organization and functioning of IOSUD "Mihai Viteazul" National Intelligence Academy (IOSUD ANIMV) establishes the organization and functioning of IOSUD ANIMV, the Council for Doctoral University Studies (CSUD), outlines the establishment, organization and functioning of the academic management structures at the level of the 3<sup>rd</sup> cycle of university studies and the establishment, structuring and functioning of the academic structures specific to the 3<sup>rd</sup> cycle of university studies.

### **Article3.**

- (1) The Regulation on the organization and functioning of IOSUD ANIMV shall be drawn up in accordance with the vision centered on the following values:
  - a) equity, by ensuring opportunities for doctoral and postdoctoral studies, equitably for all;
  - b) excellence, by supporting and motivating it within the educational process and doctoral and postdoctoral research;
  - c) integrity, by providing valuable benchmarks and promoting ethics in doctoral and postdoctoral education and research;
  - d) wellbeing, by supporting doctoral students and postdoctoral researchers through appropriate care and health measures, counseling and career guidance, as well as ensuring personal development conditions through appropriate support measures;
  - e) professionalism, by maintaining high standards on teacher training and, subsequently, on the training provided to doctoral students and postdoctoral researchers;
  - f) respect, by appropriating respect for other persons, institutions, the environment and society, as part of the training;

- g) flexibility, by providing a dynamic learning path adapted to the needs of doctoral students and postdoctoral researchers, in order to acquire skills;
  - h) diversity, by ensuring respect for variety and a tolerant environment for the training process of the doctoral students and postdoctoral researchers;
  - i) transparency, by using transparent evaluation mechanisms and maintaining open and honest communication with doctoral students and postdoctoral researchers;
  - j) collaboration, through the development of inter-university partnerships, with public or private research institutes, with public or private economic operators, including for the development of interdisciplinary study programs, as well as for any other types of projects;
  - k) consultation, by involving stakeholders in the design and implementation of legislation, strategies and policies in the field of doctoral and postdoctoral studies;
  - l) inclusion, by ensuring that the status and rights of all candidates, doctoral students and postdoctoral researchers are respected in the education process, so that they have the opportunity to benefit from equal treatment;
  - m) sustainable development and green transition, by meeting the needs of the present, without compromising the ability of future generations to meet their own needs;
  - n) responsibility, by consciously assuming responsibilities for one's own behavior and actions, at the level of all educational actors: beneficiaries, management, teaching and support staff;
  - o) institutional transparency, whereby all resolutions, decisions and regulations concerning universities enjoy the highest degree of transparency, with a view to respecting the principle of public accountability.
- (2) The Regulation on the organization and functioning of IOSUD ANIMV shall be drawn by the Council for Doctoral University Studies, by consulting all directors of doctoral schools, in compliance with the legal provisions in force.
- (3) The Regulation on the organization and functioning of IOSUD ANIMV shall be endorsed by CSUD ANIMV and approved by ANIMV Senate.

### ***Section 3. Institution Organizing Doctoral University Studies ANIMV***

#### **Article 4.**

- (1) IOSUD ANIMV organizes and conducts doctoral studies and postdoctoral studies.
- (2) Doctoral studies are carried out within the doctoral studies programs in accredited doctoral fields and within the postdoctoral programs in accredited doctoral fields.
- (3) Doctoral studies are carried out within the doctoral schools of IOSUD ANIMV, which are directly coordinated by the Council for Doctoral University Studies of ANIMV.

#### **Article 5.**

- (1) IOSUD ANIMV may enter into partnerships with other higher education institutions and/or research-development units to organize and conduct doctoral university study programs and postdoctoral advanced research programs.
- (2) IOSUD ANIMV may employ, on a contractual basis, specialists from abroad who have the legal right to conduct PhDs.
- (3) IOSUD ANIMV supports a reference framework for the practices of organizing, conducting and promoting doctoral university study programs and postdoctoral advanced research programs.
- (4) IOSUD ANIMV promotes procedures and principles for quality assurance in the organization and conducting of doctoral university study programs and postdoctoral advanced research programs.

#### ***Section 4. Council for Doctoral University Studies***

##### **Article 6.**

- (1) The university leadership of IOSUD ANIMV is provided by the Council for Doctoral University Studies (CSUD), a deliberative and decision-making university management structure.
- (2) The executive management of the CSUD shall be ensured by the Director of the CSUD, who is also a member of the CSUD by virtue of their position.

##### **Article 7.**

- (1) CSUD may consist of a minimum of seven members and a maximum of 17 members. The number of members and configuration of the CSUD shall be determined for each mandate of the university management structure at least 30 days before the end of the mandate of the CSUD, by an ad hoc decision of the CSUD.
- (2) CSUD shall comprise:
  - a) representatives of doctoral supervisors of IOSUD ANIMV's doctoral schools;
  - b) doctoral supervisors from outside IOSUD ANIMV, from the country and from abroad;
  - c) representatives of doctoral students and/or postdoctoral researchers, in proportion of 25% of the established number for the composition of the CSUD;
  - d) prominent scholars or professionals from the fields for which IOSUD ANIMV prepares specialists; teaching staff and scientific researchers must have the right to supervise a Ph;
- (3) All members of the CSUD shall be elected by universal, direct, secret and equal vote of the doctoral supervisors, the doctoral students and the postdoctoral researchers of the IOSUD ANIMV doctoral schools.
- (4) The members representing the doctoral supervisors of IOSUD ANIMV shall be elected by the universal, direct, secret and equal vote of the doctoral supervisors of IOSUD ANIMV. The doctoral supervisors of IOSUD ANIMV, university professors or scientific researchers, who meet the minimum and mandatory standards for granting the certificate of empowerment in force at the time of their application for the CSUD, are entitled to apply. All the doctoral supervisors of IOSUD ANIMV have the right to elect.

- (5) The members representing the doctoral supervisors outside IOSUD ANIMV shall be elected by universal, direct, secret and equal vote of the doctoral supervisors of IOSUD ANIMV. The Rector of the ANIMV and the Director of the CSUD have the right to propose their candidacy. Candidates must have the status of tenured university professors or scientific researchers at other IOSUD, meet the minimum and mandatory standards for granting the certificate of empowerment in force at the time of the proposal of their application for the CSUD and have the right to supervise doctorates in the fundamental field of science where IOSUD ANIMV is accredited to organize and conduct doctoral and postdoctoral studies. All the doctoral supervisors of IOSUD ANIMV have the right to elect.
- (6) The members representing the professional fields for which IOSUD ANIMV provides professional training shall be elected by universal, direct, secret and equal vote of the doctoral supervisors of IOSUD ANIMV. The Rector of the ANIMV and the Director of the CSUD have the right to propose their candidacy. Candidates must hold a PhD in one of the fields of doctoral studies in which IOSUD ANIMV is accredited to organize and conduct doctoral and postdoctoral studies. All the doctoral supervisors of IOSUD ANIMV have the right to elect.
- (7) The members representing doctoral students and postdoctoral researchers in the CSUD shall be elected by universal, direct and equal vote of doctoral students and postdoctoral researchers, in accordance with the *Methodology for electing the representatives of doctoral students and postdoctoral researchers in academic management structures*.
- (8) The mandate of the CSUD shall be 5 years. The duration of the full mandate of a CSUD member shall be five years and shall end on the expiry of the mandate of the CSUD.
- (9) In order to fill vacancies during the mandate of CSUD, a partial election shall be organized, depending on the specificity of the vacancy. The partial mandate of the members of the CSUD shall end on the expiry of the mandate of the CSUD.
- (10) The mandate of CSUD members who are doctoral supervisors and professional personalities shall end before its expiry in the following situations: death, retirement, loss of tenured professor status, loss of doctoral supervisor title, loss of doctoral title, withdrawal from office.
- (11) The term of office of the members of the CSUD which are doctoral students and postdoctoral researchers shall be terminated in accordance with the *Methodology for electing the representatives of doctoral students and postdoctoral researchers in academic management structures*.

**Article 8.**

- (1) The CSUD shall meet, as a rule, on a monthly basis or whenever necessary. Extraordinary meetings shall be held at the request of the CSUD Director or at the request of at least 1/3 of the CSUD members.
- (2) Decisions of the CSUD shall be taken by a simple majority of the members present. The meeting quorum is achieved by the participation of at least 2/3 of the total number of members of the CSUD, but with the mandatory presence of at least one

representative of doctoral students.

- (3) Decisions for which the CSUD has approval competence shall be submitted for information to the Board of Trustees of the ANIMV; decisions for which the CSUD has the endorsement competence shall be submitted to be approved by the ANIMV Senate or Board of Trustees of the ANIMV, according to their competences.

**Article 9.**

(1) The CSUD shall have the following responsibilities in ensuring the operation of IOSUD ANIMV:

- a) approves the regulations of organizing and functioning of the doctoral schools of ANIMV;
- b) approves the results of the habilitation processes for obtaining doctoral supervisor status and submits them to the National Commission for Attestation of University Titles, Diplomas, and Certificates (CNATDCU) for validation;
- c) approves the doctoral supervisors' requests to become members of doctoral schools;
- d) approves the granting or revocation of the membership of the doctoral school to doctoral supervisors based on minimum standards of scientific performance;
- e) approves the doctoral supervisors' requests to become scientific coordinators of the doctoral schools;
- f) approves the registration of candidates declared admitted, namely the dismissal of doctoral students and postdoctoral researchers, on the proposal of the doctoral school councils;
- g) approves national and international mobility internships of doctoral students, postdoctoral researchers and doctoral supervisors;
- h) endorses the *Regulations of Organizing and functioning of IOSUD ANIMV* and submits it to the ANIMV Senate for approval;
- i) endorses partnerships for conducting doctoral studies programs and postdoctoral advanced research programs with other higher education institutions or research-development units, which they submit to the Board of Trustees for approval;
- j) endorses the *Strategy for increasing the quality of educational and research experience in IOSUD ANIMV*, proposed by the Director of the CSUD, and submits it to the ANIMV Board of Trustees for approval;
- k) endorses the *Methodology for the appointment of the Director of the CSUD* and submits it to the ANIMV Senate for approval;
- l) endorses the *Methodology for the organization and conduct of admission to doctoral university studies in ANIMV* and submits it to the ANIMV Senate for approval;
- m) endorses the *Methodology for the organization and conduct of the admission process to postdoctoral advanced research programs in ANIMV* and submits it to the ANIMV Senate for approval;
- n) endorses the IOSUD ANIMV periodic self-evaluation report every 5 years and submits it to the ANIMV Senate for approval;
- o) formulates proposals on the establishment, merging, dismantling of doctoral schools in IOSUD ANIMV, and submits them to the ANIMV Senate for approval;
- p) analyzes and settles the decisions of the doctoral schools' councils and submits

them to the ANIMV Senate or to the ANIMV Board of Trustees, if applicable.

**Article 10.**

- (1) The CSUD has the following responsibilities in providing doctoral university studies programs:
  - a) approves the procedures necessary for the proper conduct of the activities specific to doctoral programs in doctoral schools;
  - b) approves the curricula of doctoral university studies programs in accredited doctoral studies fields;
  - c) approves the composition of the committees for public defense of doctoral theses;
  - d) approves the internal evaluation reports of accredited doctoral studies fields;
  - e) approves the replacement of the doctoral supervisor (permanent internal mobility), respectively the redistribution of doctoral students to doctoral supervisors within doctoral schools, where appropriate;
  - f) approves the criteria and indicators for the evaluation of doctoral theses by the advisory and academic integrity committees and by the committees for the public defense of doctoral theses;
  - g) approves the reports of periodic self-evaluation of the doctoral fields of study conducted by the doctoral schools at 5-year intervals;
  - h) endorses the *Regulation on the organization and conduct of doctoral studies programs within IOSUD ANIMV* and submits it to the ANIMV Senate for approval;
  - i) endorses the curricula of the doctoral university studies programs in the fields of accredited doctoral studies and submits them to the ANIMV Senate for approval.

**Article 11.**

- (1) CSUD has the following responsibilities in ensuring advanced postdoctoral research programs:
  - a) approves the procedures necessary for the proper conduct of the activities specific to advanced postdoctoral research programs within doctoral schools;
  - b) approves the curricula of advanced postdoctoral research programs in accredited doctoral study fields;
  - c) approves the composition of the committees for the public defense of the graduation papers of the advanced postdoctoral research program;
  - d) approves the internal evaluation reports of advanced postdoctoral research programs in accredited doctoral study fields;
  - e) approves the replacement of the scientific supervisor (permanent internal mobility), respectively the redistribution of postdoctoral researchers to scientific supervisors within doctoral schools, where appropriate;
  - f) approves the criteria and indicators for the evaluation of graduation papers by the advisory and academic integrity committees and by the committees for the public defense of graduation papers, proposed by the doctoral school councils;
  - g) endorses the *Regulation on the organization and conduct of advanced postdoctoral research programs within IOSUD ANIMV* and submits it to the ANIMV Senate for approval;

- h) endorses the curricula of advanced postdoctoral research programs in accredited fields and submits them to the ANIMV Senate for approval.

## ***Section 5. Director of the Council for University Doctoral Studies***

### **Article 12.**

- (1) The Director of the CSUD ensures the operational management of the CSUD and represents IOSUD ANIMV in interinstitutional relations. The position of the CSUD Director is equivalent to the position of Vice-Rector.
- (2) The Director of the CSUD is appointed following a public competition organized by the Rector of ANIMV, in accordance with this Regulation and the *Methodology for the appointment of the CSUD Director*.
- (3) The mandate of the CSUD Director is 5 years.
- (4) The responsibilities of the CSUD Director are as follows:
  - a) leads CSUD meetings and is a full member thereof;
  - b) coordinates the drafting of the *Regulation on the organization and functioning of IOSUD ANIMV*, analyzes the final form of the draft, and presents it to CSUD members for endorsement;
  - c) monitors the organization, conduct, and administration of doctoral university study programs in accredited doctoral fields, in accordance with the provisions of the *Regulation on the organization and functioning of IOSUD ANIMV* and the legislation in force;
  - d) proposes for endorsement to CSUD the *Strategy for improving the quality of educational and research experience within IOSUD ANIMV* for each accredited doctoral study fields within IOSUD ANIMV;
  - e) proposes for endorsement to CSUD the strategies for the internationalization of university study programs, the strategies to increase international visibility and notoriety, and international agreements in the field of scientific and doctoral research of CSUD;
  - f) proposes to CSUD and doctoral schools inter-institutional and scientific research project agreements in accredited doctoral study fields;
  - g) proposes for endorsement to CSUD the methodologies necessary for the proper conduct of the activities specific to doctoral university programs within ANIMV, in accordance with the *Regulation on the organization and functioning of IOSUD ANIMV*, the *Regulation on the organization and conduct of doctoral university study programs within IOSUD ANIMV*, the *Regulation for the organization and conduct of postdoctoral programs within IOSUD ANIMV*, and the applicable legal framework;
  - h) leads the internal self-evaluation activities of IOSUD ANIMV and doctoral schools, based on the methodologies in force, and proposes to CSUD the *Internal self-evaluation report* of IOSUD ANIMV.
  - i) ensures the publication on the animv.ro website of at least the following documents and information:
    1. *The Regulation on the organization and functioning of IOSUD ANIMV*;
    2. The regulations of doctoral university study programs and postdoctoral

- programs in accredited doctoral fields;
  - 3. The methodologies regarding CSUD-specific activities;
  - 4. Information regarding the composition of the CSUD;
  - 5. Information regarding the strategies and policies for the development and internationalization of doctoral studies.
- j) ensures the prevention and sanctioning of deviations from the norms of academic ethics and integrity and of scientific and professional research standards, in accordance with the Code of Ethics and Professional Deontology of ANIMV.

**Article 13.**

- (1) The position of the CSUD Director is incompatible with the management positions provided by Article 170(5) of the Law of Higher Education no. 199/2023. If the CSUD Director is in a situation of incompatibility with other management positions, they have 15 days to eliminate the incompatibility situation, including by suspending one of the positions.

***Section 6. Doctoral Schools within ANIMV***

**Article 14.**

- (1) "Mihai Viteazul" National Intelligence Academy, as an institution organizing doctoral university studies, establishes, organizes, and ensures the functioning of doctoral schools in accredited doctoral fields.

**Article 15.**

- (1) The proposal for the establishment, dissolution, or merger of doctoral schools may be submitted to CSUD by the CSUD Director or by the directors of doctoral schools. CSUD approves or rejects the proposal for the establishment, dissolution or merger of doctoral schools. In the case of approval of establishment or merger of doctoral schools, CSUD shall ensure the minimum legal standards for the functioning of doctoral schools. In the case of dissolution, CSUD shall establish the method of liquidation of the doctoral university study programs carried out within the dissolved doctoral school.
- (2) The proposal of a new doctoral study field may be submitted to CSUD by the CSUD Director, by the directors of doctoral schools or by doctoral supervisors habilitated in the new doctoral field. CSUD approves or rejects the proposal of a new doctoral field. In the case of approval of the proposal of a new doctoral field, CSUD shall ensure the minimum legal standards for the functioning of the doctoral field.
- (3) The CSUD decision shall be transmitted to the ANIMV Senate for approval.

**Article 16.**

- (1) A doctoral school may be established if at least the following minimum legal standards are met:
- a) at least one doctoral field exists in which there are at least 3 doctoral supervisors, tenured or visiting professors of IOSUD ANIMV, who meet the minimum and mandatory standards for awarding the habilitation certificate, approved by order of the Minister of Education and Research, in force at the date of establishment of the doctoral school, and who have expressed their intention to be active within the doctoral school;
  - b) ANIMV has the necessary scientific resources and equipment for conducting research under the best conditions in the doctoral field;

- c) ANIMV has budgetary or extrabudgetary resources to ensure the financial sustainability of doctoral school activities;
  - d) ANIMV has identified interests and opportunities from the scientific and academic environment, from the Romanian Intelligence Service and the national security, defense, and public order system, as well as from the economic, cultural, and social environment, which ensure and contribute to achieving the objectives of the doctoral school and which can provide human, informational, financial, and material resources for the functioning of the doctoral school.
- (2) Doctoral schools operate under the direct coordination of CSUD. Each doctoral school is headed by a doctoral school director, who ensures the operational management of the doctoral school, and by a Doctoral School Council, as a deliberative academic leadership structure.

## ***Section 7. Doctoral university study programs***

### **Article 17.**

- (1) Doctoral university study programs represent the third cycle of university studies, whose purpose consists in developing human resources competent in conducting scientific research, employable in the highly qualified labor markets. They consist in training through research for research development and innovation and represent a professional research experience, completed by awarding a PhD diploma.
- (2) Doctoral programs are organized in accredited doctoral fields of IOSUD ANIMV and are of two types:
  - a) scientific doctorate, aimed at producing original scientific knowledge of international relevance, based on scientific methods, organized as full-time or part-time education. The scientific doctorate is a prerequisite for a professional career in higher education and research;
  - b) professional doctorate, aimed at producing original knowledge based on applying scientific methods, systematic reflection or applied research on subjects of practical importance and which is a prerequisite for a professional career in higher education and research in the fields concerned. The professional doctorate may be organized as full-time or part-time education.

### **Article 18.**

- (1) Accredited doctoral university study programs of IOSUD ANIMV are organized and conducted in the following forms:
  - a) the scientific doctorate is organized as full-time or part-time education.
  - b) the professional doctorate may be organized as full-time or part-time education.
- (2) Accredited doctoral university study programs of IOSUD ANIMV are financed from the following sources:
  - a) the scientific doctorate may be financed from the state budget, from the SRI budget, from other legally constituted sources, on a tuition-fee basis.
  - b) the professional doctorate may be financed from the SRI budget, from other legally constituted sources, on a tuition-fee basis.

- (3) The candidate for professional doctoral university study programs may choose when applying for the admission competition one of the forms of education, in accordance with the obligations provided in Section 10.

**Article 19.**

- (1) The organization and conduct of admission, training, research and completion of doctoral university study programs are specified in the *Regulation on the organization and conduct of doctoral university study programs*.
- (2) The Regulation is proposed by the CSUD Director, endorsed by CSUD, and approved by the ANIMV Senate.

**Section 8. Advanced postdoctoral research programs**

**Article 20.**

- (1) Advanced postdoctoral research programs are intended for persons who obtained a doctoral degree in science no more than 5 years before admission to the postdoctoral program or who participated and obtained a certificate of excellence in the "Marie Skłodowska-Curie" competitions organized by the European Commission, regardless of the time when the doctoral title was obtained.
- (2) Advanced postdoctoral research programs are organized in accredited doctoral fields of IOSUD ANIMV and, depending on the funding sources, may be organized as:
  - a) full-time education, financed from legally constituted sources, on a tuition-fee basis;
  - b) part-time education, financed from the SRI budget, on a tuition-fee basis.
- (3) The candidate for advanced postdoctoral research programs may, at the time of registration for admission, choose one of the forms of education, in accordance with the obligations provided in Section 11.

**Article 21.**

- (3) The organization and conduct of admission, training, research, and completion of advanced postdoctoral research programs is specified in the *Regulation for the organization and conduct of advanced postdoctoral research programs*.
- (4) The Regulation is proposed by the CSUD Director, endorsed by CSUD, and approved by the ANIMV Senate.

**Section 9. Doctoral supervisor - Scientific coordinator**

**Article 22.**

- (5) In order to hold the status of doctoral supervisor, a habilitation certificate is required. Only persons who have a PhD diploma and who meet the national minimum standards elaborated by the National Commission for Attestation of University Titles, Diplomas and Certificates (CNATDCU), approved by order of the minister of Education, may enroll in order to obtain the habilitation certificate.
- (6) Obtaining the habilitation certificate within IOSUD ANIMV is carried out in

accordance with the legislation in force and with the provisions of the Methodology for organizing and conducting the process of obtaining the habilitation certificate, proposed by CSUD and approved by the ANIMV Senate.

- (7) A person may be a doctoral supervisor within IOSUD ANIMV if they obtained the right to supervise doctorates prior to the entry into force of the Law of higher education no. 199/2023, with subsequent amendments and completions, as well as persons who obtained the habilitation certificate in accordance with the provisions of the Law of higher education no. 199/2023, with subsequent amendments and completions.
- (8) A doctoral supervisor may supervise doctoral students only in the field for which they obtained this right. By exception, at establishment, a new doctoral study field may function with doctoral supervisors from the same branch of science.
- (9) A doctoral supervisor may not simultaneously guide doctoral students except within a single IOSUD, with the exception of doctorates conducted in co-tutorship. Within IOSUD ANIMV, a doctoral supervisor may supervise a maximum of 8 doctoral students. Exceptional situations are analyzed and resolved by decisions of the ANIMV Senate.
- (10) In order to obtain the status of doctoral supervisor within IOSUD ANIMV, persons who hold the right to supervise doctorates shall request affiliation to a doctoral school of IOSUD ANIMV, according to the doctoral field.

**Article 23.**

- (1) In order to obtain the status of scientific coordinator and the right to supervise postdoctoral researchers in advanced postdoctoral research programs, holding the status of doctoral supervisor affiliated with a doctoral school within IOSUD ANIMV is necessary.

**Article 24.**

- (1) A doctoral supervisor may simultaneously supervise a maximum of 8 doctoral students enrolled in the training program based on advanced university studies and/or in the individual scientific research program, including doctoral students who have interrupted or extended the doctoral university studies program. Doctoral supervisors exceeding this limit may not be assigned supervision of new doctoral student vacancies for admission.
- (2) A doctoral supervisor may simultaneously co-supervise, in a secondary role, a maximum of 4 doctoral students enrolled in the individual scientific research program, including doctoral students who have interrupted or extended the doctoral university studies program. Doctoral supervisors exceeding this limit cannot accept new doctoral students in co-tutorship.
- (3) In the case of redistribution of doctoral students following termination of the employment contract of a doctoral supervisor with IOSUD ANIMV, the maximum number of doctoral students simultaneously supervised may be exceeded, with annual Senate approval until the maximum limit is restored.
- (4) For the activity carried out in this capacity, doctoral supervisors are assigned teaching loads in accordance with the *Methodology for establishing the academic load within ANIMV* and are remunerated according to legislation in force.

**Article 25.**

- (1) A scientific coordinator may simultaneously supervise a maximum of 4 postdoctoral researchers in the individual advanced scientific research program, including postdoctoral researchers who have interrupted or extended the postdoctoral advanced research program. Scientific coordinators exceeding this limit may not be assigned coordination of new postdoctoral researcher vacancies.
- (2) In the case of redistribution of postdoctoral researchers following termination of the employment contract of a scientific coordinator with IOSUD ANIMV, the maximum number of postdoctoral researchers simultaneously guided may be exceeded, with annual approval of the ANIMV Senate, until the maximum limit is restored.
- (3) For the activity carried out in this capacity, the scientific coordinators are assigned academic loads in accordance with the *Methodology for establishing the academic load in ANIMV* and are remunerated according to the legislation in force.

**Article 26.**

- (1) The rights and obligations of the doctoral supervisor, and of the scientific coordinator, are governed by the *Law of Higher Education*, no. 199/2023; *Framework Regulation for Doctoral University Studies*, OME no. 3020/2024; *ANIMV Charter*; *Regulation on the organization and functioning of IOSUD ANIMV*; *Regulation on the organization and functioning of the Intelligence and Security Doctoral School*; the employment contract concluded with IOSUD ANIMV.
- (2) The doctoral supervisors are entitled:
  - a) to guide and evaluate the activity of the doctoral student within the doctoral studies program, according to professional and university autonomy, following the requirements of the doctoral studies program and respecting the professional interests of the doctoral student;
  - b) to propose the advisory and academic integrity committee, respectively the doctoral commission;
  - c) to benefit from an impartial internal and external evaluation according to the specific methodology for the evaluation process;
  - d) to know the assessment methodology, on both their internal and external evaluation;
  - e) to know the results of the internal and external evaluation of their own work;
  - f) to refuse to supervise a doctoral student if they are unwillingly involved in a conflict of interests;
  - g) to justifiably request the Doctoral School Council to terminate the mentorship with a doctoral student;
  - h) to request the doctoral school to organize admission competitions for each vacant position for doctoral students under his guidance;
  - i) to establish the elements of study within the advanced university studies training program to which the Doctoral student must participate, in compliance with the provisions of the *Regulation of organization and conduct of the Doctoral study program in IOSUD ANIMV*.
- (3) The obligations of the Doctoral supervisor shall be as follows:

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- a) to provide scientific, professional and deontological guidance to each doctoral student;
  - b) to propose scientific research topics within their own scientific research projects;
  - c) to ensure the conditions and stimulate the progress of Doctoral students in the research they carry out;
  - d) to conduct objective and rigorous monitoring and evaluation of each Doctoral student;
  - e) to support the mobility of doctoral students for advanced studies and doctoral research;
  - f) to avoid conflicts of interest in guiding doctoral students;
  - g) to exercise due diligence in checking the conformity of their doctoral theses with the requirements of an original creation;
  - h) to have permanent knowledge of the stage of training of doctoral students in mentoring and the stage of their performance of the tasks set out in doctoral study contracts;
  - i) to guide doctoral students for the design of doctoral research and the realization of scientific articles and doctoral theses;
  - j) to propose to the Doctoral School Council the expulsion of doctoral students who fail to meet the objectives imposed by the doctoral studies program or who violate the rules of conduct and ethics in scientific research;
  - k) to participate in the program of advanced university studies training of doctoral students;
  - l) to propose to the Doctoral School Council the expulsion of doctoral students who fail to meet the objectives imposed by the doctoral studies program or who violate the rules of conduct and ethics in scientific research;
  - m) to be part of the advisory and academic integrity committee and of the public defense of doctoral theses committee;
  - n) to endorse the doctoral student's application for interruption, extension or reduction of the duration of the doctoral program;
  - o) to fulfill his obligations under doctoral study contracts;
  - p) to participate in the scientific communication sessions organized by IOSUD ANIMV and to stimulate the participation of the Doctoral students whom they supervise;
  - q) to analyze the scientific products of the doctoral student and allow their presentation only if they consider that they have a consistent scientific content, in accordance with the doctoral research design;
  - r) to endorse the doctoral theses only if they consider that the work has an adequate scientific content, is original and authentic and if the doctoral student meets the standards in force for the award of the doctoral title.
- (4) The rights of the Scientific Coordinator shall be as follows:
- a) to supervise and evaluate the work of the postdoctoral researcher within the advanced postdoctoral research program, according to professional and university autonomy, ensuring compliance with the requirements of the postdoctoral advanced research program and respecting the professional interests of postdoctoral researchers;

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- b) to propose the advisory and academic integrity committee, respectively the commission for public defense of the graduation papers;
  - c) to benefit from an impartial internal and external evaluation according to the specific methodology for the evaluation process;
  - d) to know the evaluation methodology, on both their internal and external evaluation;
  - e) to know the results of the internal and external evaluation of their own work;
  - f) the right to refuse the guidance of a postdoctoral researcher when they are unwillingly involved in a conflict of interests;
  - g) to justifiably request the Doctoral School Council to terminate the mentoring relationship with a postdoctoral researcher;
  - h) to request to the doctoral school to organize an admission contest for each postdoctoral researcher vacant position under their guidance.
- (5) The obligations of the scientific coordinator shall be as follows:
- a) to ensure the scientific, professional and deontological guidance of each postdoctoral researcher;
  - b) to ensure the conditions and stimulate the progress of postdoctoral researchers in the research they carry out;
  - c) to perform objective and rigorous monitoring and evaluation of each postdoctoral researcher;
  - d) to support the mobility of postdoctoral researchers for postdoctoral research;
  - e) to avoid conflicts of interest in providing guidance to postdoctoral researchers;
  - f) to exercise due diligence in verifying the conformity of graduation papers with respect to the requirements specific to an original creation;
  - g) to have a permanent knowledge of the training of postdoctoral researchers in guidance and the performance of the tasks set out in the postdoctoral study contracts;
  - h) to collaborate with postdoctoral researchers in the design of postdoctoral research for the elaboration of scientific articles and graduation papers;
  - i) to propose to the Doctoral School Council the expulsion of postdoctoral researchers who fail to achieve the objectives imposed by the postdoctoral program of advanced research or who violate the rules of conduct and ethics in scientific research;
  - j) to establish, together with the postdoctoral researcher, the deadlines by which the stages of progress in the completion of the graduation papers will be presented and ensure that these deadlines are met;
  - k) to be part of the advisory and academic integrity committee and the committee for the public defense of the graduation papers;
  - l) to endorse the postdoctoral researcher's request to interrupt or extend the postdoctoral program;
  - m) to fulfill his obligations under postdoctoral study contracts;
  - n) to participate in the scientific communication sessions organized by IOSUD ANIMV and to stimulate the participation of the postdoctoral researchers whom they mentor;

- o) analyze the scientific products of the postdoctoral researcher and allow their presentation only if they consider that they have a consistent scientific content, in accordance with the design of postdoctoral research for the elaboration of the graduation papers.

## **Section 10. Doctoral students**

### **Article 27.**

- (1) A doctoral student is the person enrolled in the Doctoral university study program. The status of doctoral student shall be maintained throughout the entire period of the doctoral study program, including during internal and international mobility, except during the periods of discontinuation of the doctoral studies, but includes the periods of possible extensions of the doctoral study program approved by the ANIMV Senate, respectively, from enrollment to graduation from the doctoral study program or until expulsion.
- (2) The doctoral student shall be enrolled in IOSUD ANIMV based on ANIMV Commandant's (Rector's) decision.
- (3) The doctoral student enrolled as a full-time, state funded student (with scholarship), shall be employed by the ANIMV as a scientific research assistant on the educational function states of the doctoral schools of the ANIMV or as a scientific research assistant on the function states of the research institutes of the ANIMV or as a university assistant on the didactic function states of the faculties of the ANIMV, with an individual fixed-term employment contract, usually for 4 years, which can be extended up to a maximum of 6 years.
- (4) The doctoral student employed as a scientific research assistant may perform teaching or scientific research activities remunerated on an hourly basis, in accordance with the legislation in force, within the limit of the teaching load of a university assistant.
- (5) The doctoral student enrolled as a full-time, state funded student (with scholarship), benefits from the recognition of seniority in work and specialty throughout the activity.
- (6) The doctoral student enrolled as a full-time, state funded student (with scholarship), benefits from the recognition of seniority in employment and specialty, as well as free medical and dental assistance under the conditions provided by the Law no. 95/2006 on health reform, republished, with subsequent amendments and completions, and the framework contract, without payment of compulsory social contributions regulated by Law no. 227/2015 on the Tax Code, with subsequent amendments and completions.
- (7) The period during which the doctoral studies are carried out is assimilated, according to the pension's legislation, to determine the contribution period.

### **Article 28.**

- (1) Depending on the form of education in which the doctoral students are enrolled, they shall have the following attendance obligations during the doctoral university study

program:

- a. doctoral students enrolled as full-time students shall have an 8-hour mandatory daily attendance, during which they shall carry out the specific activities of the doctoral studies program and other activities specific to the doctoral school in which they are enrolled.
  - b. doctoral students enrolled as part-time students shall be present only during the specific activities of the doctoral study program and shall optionally participate in other activities specific to the doctoral school in which they are enrolled.
- (2) Depending on the form of funding of the doctoral studies in which the doctoral student is enrolled, the doctoral student shall have the following obligations to justify the funding during the doctoral studies program:
- c. doctoral students financed by the state budget (with scholarship) shall present monthly a doctoral activity report, approved by the director of the doctoral school and approved by the director of the CSUD;
  - d. doctoral students financed by the SRI budget shall submit a doctoral activity report, approved by the commander of the structure in which they are employed and approved by the director of the CSUD;
  - e. fee-funded doctoral students shall justify the doctoral activity by report upon the request of their funder.
- (3) Doctoral students shall notify the Doctoral School in case of interruption of the permanent employment contract with ANIMV or SRI.
- (4) Doctoral students may receive budget funding for a single doctoral university study program.

**Article 29.**

- (1) Doctoral students shall have the following academic and scientific research rights:
- a) to benefit from the support, guidance and coordination of the doctoral supervisor as well as guidance from the advisory and academic integrity committee;
  - b) to participate in working meetings of the research and development personnel of IOSUD ANIMV, when subjects relevant for the topic of their doctoral theses are under discussion;
  - c) to be represented in the university management structures of IOSUD ANIMV with type of representation: Doctoral School Council, Council for Doctoral University Studies, ANIMV Senate;
  - d) to access and use the infrastructure, logistics, documentation centers, libraries and equipment of the doctoral school and of IOSUD ANIMV for the design of doctoral research and writing scientific articles and doctoral theses;
  - e) to enroll in courses and activities organized by other doctoral schools, relevant to the topic of their doctoral theses;
  - f) to participate in research project teams of IOSUD ANIMV, including by participating in the process of drafting and submitting funding applications;
  - g) to benefit from national or international study or scientific research mobility, depending on the opportunities offered by IOSUD ANIMV;
  - h) to receive institutional support to participate in conferences or scientific congresses, workshops, summer or winter schools and national and international seminars in the field of their doctoral theses;

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- i) to participate in the scientific communication sessions organized by the Intelligence and Security Doctoral School or/by IOSUD ANIMV;
  - j) to be informed about the curriculum of doctoral studies within the Intelligence and Security Doctoral School;
  - k) to benefit from the student support services available at IOSUD ANIMV, including those for accommodation and canteen;
  - l) to benefit, as the case may be, from the organization of the doctorate under co-tutorship;
  - m) to request, for reasons specified in the doctoral studies regulations, the interruption of the doctoral university studies program;
  - n) to request, with the approval of the doctoral supervisor, the reduction of the doctoral university study program by a maximum of one year;
  - o) to request the Doctoral School Council to replace the doctoral supervisor (permanent internal mobility) in case of their unavailability for a period of at least 6 months, in case of their failure to comply with the clauses of the doctoral study contract or for other justified reasons;
  - p) to be redistributed to another supervisor within the doctoral school in the event that the doctoral supervisor has lost the position as a doctoral supervisor, has terminated the employment contract with IOSUD/ANIMV, in the event of the death of the doctoral supervisor; in such situations the Doctoral School Council is obliged to decide on the redistribution to another doctoral supervisor;
  - q) to modify the title of the doctoral thesis with the consent of the doctoral supervisor until the moment of submitting the file for its public defense;
  - r) to request with the approval of the doctoral supervisor access to an electronic system for verifying the degree of similarity of one's own research results with other existing scientific creations;
  - s) to request the termination of the doctoral study contract;
  - t) not to pay fees for periods of interruption of the doctoral university study program;
- (2) The doctoral students shall have the following academic and scientific research obligations:
- a) to respect the program established together with the doctoral supervisor and to fulfill the obligations of defending the papers and presenting the research results;
  - b) to give a presentation on the progress of their scientific research program at least once every 12 months to the advisory and academic integrity committee and the doctoral supervisor;
  - c) to be in permanent contact with the doctoral supervisor through the resources made available by IOSUD ANIMV, in order to ensure continuity of training and a normal flow of information;
  - d) to respect institutional discipline without restricting academic freedom;
  - e) to respect university ethics and deontology policies and to carry out their studies and research in compliance with academic integrity norms;
  - f) to achieve a minimum of 30 ECTS in each academic year, in order to promote in the following year, according to the curriculum of the doctoral university study program;
  - g) to comply with attendance obligations, depending on the form of education in which they are enrolled;

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- h) to submit reports on doctoral activity, depending on the form of financing in which they are enrolled;
- i) to draw up the training program based on advanced university studies, the individual scientific research program and the complementary program of knowledge skills, responsibility, autonomy;
- j) to provide all the documents necessary for the preparation of the doctoral file for the validation of the doctoral thesis by CNATDCU;
- k) to meet the standards in force for the award of the doctoral title, as provided for in the doctoral study contract;
- l) to permanently update their contract and personal data in the doctoral study file;
- m) to follow the information communicated by the management of the doctoral school through various means (publication on the website, through the doctoral supervisor, by post, telephone, fax, email etc.);
- n) to pay the tuition fees within the terms stipulated in the doctoral study contract and the additional documents thereto, if they are pursuing fee-funded doctoral studies;
- o) to comply with the rules regarding the protection of classified data and personal data;
- p) to carry out the activity of a doctoral student in accordance with the principles of equidistance and political non-involvement of SRI.

## ***Section 11. Postdoctoral researchers***

### **Article 30.**

- (1) A postdoctoral researcher is the person enrolled in the postdoctoral advanced research program. The postdoctoral researcher status shall be maintained throughout the entire period of the postdoctoral advanced research program, including during internal and international mobility, except during periods of interruption of postdoctoral studies, but includes the terms of any extensions of the postdoctoral advanced research program approved by the respective ANIMV Senate, from enrollment until graduation from the postdoctoral studies program or until expulsion.
- (2) Postdoctoral researchers shall be enrolled in IOSUD ANIMV based on the ANIMV Commandant's decision.
- (3) Postdoctoral researchers enrolled in the form of full-time education, with funding from other legally established sources, may be employed by ANIMV as a scientific researcher in the teaching positions of the doctoral schools in ANIMV or as a scientific researcher in the positions of the research institutes in ANIMV or as a university assistant in the teaching positions of the faculties in ANIMV, with an individual employment contract for the minimum period equal to the funding period from other legally sources.

- (4) The postdoctoral researcher employed as a scientific researcher may carry out teaching or scientific research activities remunerated on an hourly basis, in accordance with the legislation in force, within the limits of the teaching load of a university assistant.

**Article 31.**

- (1) Depending on the form of education in which they are enrolled, postdoctoral researchers shall have the following attendance obligations during the postdoctoral program:
  - a. postdoctoral researchers enrolled in the full-time form of education shall have mandatory daily attendance for 8 hours an 8-hour mandatory daily attendance, during which they shall carry out the specific activities of the advanced research postdoctoral program and other activities specific to the doctoral school in which they are enrolled.
  - b. postdoctoral researchers enrolled in the part-time form of education shall have mandatory attendance only during the specific activities of the advanced research postdoctoral program and will optionally participate in other activities specific to the doctoral school in which they are enrolled.
- (2) Depending on the form of financing of the postdoctoral studies in which they are enrolled, postdoctoral researchers shall have the following obligations to justify the financing during the postdoctoral advanced research program:
  - a. postdoctoral researchers funded from other legally established sources shall present a monthly postdoctoral activity report, endorsed by the director of the doctoral school and approved by the director of CSUD;
  - b. postdoctoral researchers funded from the SRI budget shall present a semi-annual postdoctoral activity report, endorsed by the commander of the structure in which he/she is employed and approved by the director of CSUD;
  - c. fee-funded postdoctoral researchers shall justify the postdoctoral activity in relation to the request of their funder.
- (3) Postdoctoral researchers are obliged to notify the doctoral school in the event of the interruption of the permanent employment contract with ANIMV or with SRI.

**Article 32.**

- (1) Postdoctoral researchers shall have the following academic and scientific research rights:
  - a) to benefit from the support, guidance and coordination of the scientific coordinator, as well as guidance from the advisory and academic integrity committee;
  - b) to participate in working meetings of the research and development staff within IOSUD ANIMV, when subjects relevant for the topic of their doctoral thesis are under discussion;
  - c) to be represented in the university management structures of IOSUD ANIMV that provide for this representation: Doctoral School Council, Council for Doctoral University Studies, ANIMV Senate;
  - d) to access and use the infrastructure, logistics, documentation centers, libraries, and equipment of doctoral schools and of IOSUD ANIMV for the design of postdoctoral research and the production of scientific articles and graduation papers;

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- e) to enroll in courses and activities organized by other doctoral schools, relevant to the topic of their research;
  - f) to participate in research project teams under IOSUD ANIMV, including by participating in the process of drafting and submitting funding applications;
  - g) to benefit from national or international study or scientific research mobilities, depending on the opportunities offered by IOSUD ANIMV;
  - h) to benefit from institutional support to participate in scientific conferences or congresses, workshops, summer or winter schools and national seminars and international in the field of their research work;
  - i) to participate in the scientific communication sessions organized by the Intelligence and Security Doctoral School or/and by IOSUD ANIMV;
  - j) to be informed about the curriculum of postdoctoral studies within the Intelligence and Security Doctoral School;
  - k) to benefit from the support services for researchers existing at the IOSUD ANIMV level, including those for accommodation and canteen;
  - l) to request for reasons specified in the postdoctoral studies regulations, the interruption of the postdoctoral advanced research program;
  - m) to request with the approval of the scientific coordinator, the reduction of the advanced postdoctoral program by a maximum of one semester;
  - n) to request the Doctoral School Council to replace the scientific coordinator (permanent internal mobility) in the event of their unavailability for a period of at least 6 months, in the event of their failure to comply with the clauses of the postdoctoral study contract or for other justified situations;
  - o) to be redistributed to another scientific coordinator within the doctoral school in the event that the scientific coordinator has lost the position as scientific coordinator, has terminated the employment contract with IOSUD/ANIMV, in the event of the death of the scientific coordinator; in such situations the Doctoral School Council is obliged to decide on the redistribution to another scientific coordinator;
  - p) to modify the title of the graduation paper with the consent of the scientific coordinator, until the moment of submitting the file for its public defense;
  - q) to request, with the consent of the scientific coordinator, access to an electronic system to verify the degree of similarity of the results of one own's research with the other existing scientific papers;
  - r) to request the termination of the postdoctoral study contract;
  - s) not to pay fees for periods of interruption of the postdoctoral advanced research program;
- (2) Postdoctoral researchers have the following academic and scientific research obligations:
- a) to respect the program established together with the scientific coordinator and to fulfill their obligations to support the works and present the research results;

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- b) to give a presentation on the progress of their scientific research program at least once every 6 months to the advisory and academic integrity committee and the scientific coordinator;
- c) to be in permanent contact with the scientific coordinator through the resources made available by IOSUD ANIMV, in order to ensure continuity of training and a normal flow of information;
- d) to respect institutional discipline, without restricting academic freedom;
- e) to respect university ethics and deontology policies and to carry out studies and research in compliance with academic integrity standards;
- f) to achieve a minimum of 30 ECTS in each academic year, in order to promote to the following year, according to the curriculum of the advanced research postdoctoral program;
- g) to comply with attendance obligations, depending on the form of education in which they are enrolled;
- h) to submit reports on postdoctoral activity, depending on the form of funding to which they are enrolled;
- i) to draw up the individual scientific research program and the complementary program of knowledge, skills, responsibility, autonomy;
- j) to provide all the documents necessary for the preparation of the graduation file;
- k) to permanently update their contact details and personal data in the postdoctoral researcher file;
- l) to follow the information communicated by the doctoral school management through various means (publication on the website, through the doctoral supervisor, by post, telephone, fax, e-mail etc.);
- m) to pay the tuition fees within the deadlines stipulated in the postdoctoral studies contract and the additional documents thereto, if they are pursuing postdoctoral studies financed by fees;
- n) to comply with the rules regarding the protection of classified data and personal data;
- o) to carry out the activity of a postdoctoral researcher in accordance with the principles of equidistance and political non-involvement of SRI.

## ***Section 12. Doctorate under co-tutorship***

### **Article 33.**

- (1) IOSUD ANIMV encourages the organization and conduct of doctoral studies in co-tutorship, in which case doctoral students carry out their activity under the simultaneous guidance of a doctoral supervisor from IOSUD ANIMV and a doctoral supervisor from another IOSUD, from the country or abroad, based on a written agreement and an addendum to the doctoral student's doctoral studies contract.
- (2) If the written co-tutorship agreement is concluded between IOSUD ANIMV and an IOSUD from abroad, the course of the study program, the doctoral thesis and the conditions for its public defense must be established in such a way that the legal provisions are respected, applicable in both states. Otherwise, the administrative procedure carried out by IOSUD ANIMV will be invalidated and the doctorate degree will

not be awarded.

- (3) The doctorate under co-tutorship can be also organized if both doctoral supervisors are from IOSUD ANIMV, for example, when they are qualified in different doctoral fields or when one of the doctoral supervisors has reached retirement age and still has an individual fixed-term employment contract with IOSUD ANIMV.

**Article 34.**

- (1) The organization and conduct of the doctorate under co-tutorship shall be carried out in accordance with the provisions of the regulations on the organization and functioning of each doctoral school.

***Section 13. Grants, mobilities, and academic and research scholarships for Doctoral students and postdoctoral researchers***

**Article 35.**

- (1) Doctoral university studies programs organized as a full-time education form grant scholarships. Doctoral university studies programs organized as a part-time education form do not grant scholarships.
- (2) Grants related to doctoral university studies shall include the amount of individual scholarships for the scientific/professional doctorate organized as full-time education and shall not include the amount of individual scholarships for the scientific/professional doctorate organized as part-time education.
- (3) IOSUD ANIMV shall allocate a minimum of 20% of its funding to doctoral grants for research activities carried out by doctoral students, including their national and international mobility.
- (4) IOSUD ANIMV shall establish in a transparent manner, through its own regulations, the criteria and the way in which the budgeted places and the doctoral scholarships are distributed to the doctoral schools, as well as the minimum percentage of the doctoral grant allocated exclusively to the financing of the vocational training of doctoral students.
- (5) IOSUD ANIMV shall constantly focus on attracting financial resources from other legally established sources for the funding of academic mobility and scholarships for studies and research granted to postdoctoral researchers.

**Article 36.**

- (1) Academic mobility is the right of doctoral students and postdoctoral researchers to benefit from the recognition of their transferable credits, under the law, at other higher education institutions in the country or abroad. Mobility may be internal or international, physical, virtual or mixed, respectively, permanent or temporary, for all forms of education.
- (2) The organization and conduct of doctoral and postdoctoral mobility shall be in accordance with the provisions of the rules/regulations on organization and functioning of each doctoral school.

- (3) Doctoral and postdoctoral scholarships shall be granted according to the provisions of the Regulations on awarding scholarship in Institution Organizing Doctoral University Studies ANIMV, proposed by the Director of the Council for Doctoral University Studies, approved by the Council for Doctoral University Studies and approved by the ANIMV Senate.

**Section 14. Ethics, academic integrity, copyrights, and incompatibilities**

**Article 37.**

- (1) The doctoral university studies cycle represents the third cycle of university studies whose purpose consists in developing scientific research competences in the field of doctoral studies. Through the results of the research presented in scientific communications, scientific articles, scientific research reports and in the doctoral theses / graduation papers, the scientific knowledge and professional practice is extended or redefined.
- (2) A doctoral thesis / graduation paper shall be an original work that demonstrates the acquisition of knowledge at the most advanced level in the field of doctoral studies, the development of advanced research skills and techniques, the acquisition of a scientific autonomy and responsibility characterized by authority, innovation, and academic integrity.
- (3) Any form of intellectual fraud within the framework of the doctoral study program / postdoctoral advanced research program shall be prohibited: plagiarism, falsification or fabrication of research results. Ideas, data, materials sourced from other authors in scientific communications, scientific articles, scientific research reports, and doctoral theses / graduation papers must be mentioned by indicating its authors and sources.
- (4) Doctoral students shall be responsible for the originality of the doctoral theses. Postdoctoral researchers are responsible for the originality of the scientific graduation papers.
- (5) Doctoral students / postdoctoral researchers shall be present an affidavit regarding the originality of the content of the doctoral theses / graduation papers, and the compliance with the standards of professional quality and ethics when submitting the doctoral theses / graduation papers.
- (6) Doctoral students / Postdoctoral researchers are the authors of scientific research results and take responsibility for the correctness of data and information, opinions, arguments, claims, and demonstrations expressed in scientific communications, scientific articles, scientific research reports and in the doctoral theses / graduation papers.
- (7) Intellectual fraud shall not be tolerated in the activity of doctoral supervisors / scientific coordinators, members of the guidance and academic integrity committees, doctoral students, postdoctoral researchers within IOSUD ANIMV.

**Article 38.**

- (1) The author of the doctoral thesis / graduation paper shall be responsible for ensuring the originality of its content. The doctoral supervisor / scientific coordinator has

the duty to exercise due diligence in verifying the conformity of the doctoral thesis / graduation paper according to the specific requirements of an original creation.

- (2) It is forbidden to offer for selling, to sell or to make available to individuals, in any way, works to be used as projects, articles, scientific reports, doctoral theses / graduation papers in order to pass evaluations.
- (3) It is forbidden to purchase works to be used as projects, articles, scientific reports, doctoral theses / graduation papers in order to pass evaluations.
- (4) Organizing and conducting training activities by any person without a provisional operating authorization issued by ARACIS and carrying out educational activities within unauthorized specializations / study programs shall be sanctioned according to the provisions in paragraph (5).
- (5) The facts referred to in paragraph (2)-(4) constitute contraventions and shall be sanctioned with:
  - a) a fine from 100,000 to 200,000 lei for those stipulated in paragraph (2) and (3);
  - b) a fine from 50,000 to 100,000 lei for those stipulated in paragraph (4).

**Article 39.**

- (1) IOSUD ANIMV encourages the implementation and development of a culture of fairness and responsibility in the elaboration of scientific communications, scientific articles, scientific research reports, doctoral theses / graduation papers.
- (2) CSUD approves, upon the proposal of the doctoral schools' councils, the composition of the commission for the analysis and interpretation of the result of the similarity report of the doctoral theses and of the graduate scientific papers submitted for public defense.
- (3) CSUD approves annually, on the proposal of the doctoral schools' councils, the maximum allowed values of the similarity coefficients for the works carried out in the scientific research activity of doctoral supervisors, doctoral students / postdoctoral researchers.
- (4) The maximum allowed values of the similarity coefficients refer to the similarity situations resulting from the application and observance of good conduct practices in scientific research, as provided for in Law no 206/2004 on good conduct in scientific research, technological development and innovation, with subsequent amendments and completions.

**Article 40.**

- (1) Doctoral supervisors, teachers or scientific research staff of doctoral schools are required to inform doctoral students/postdoctoral researchers about scientific, professional and academic ethics and to verify compliance with it, including compliance with deontological provisions, during doctoral studies and postdoctoral studies.
- (2) The doctoral student is the author of the doctoral thesis and assumes the correctness of the data and information presented in the thesis, as well as the opinions and demonstrations expressed in it.
- (3) The postdoctoral researcher is the author of the graduation paper and assumes the correctness of the data and information presented in the paper, as well as of the opinions and demonstrations expressed in it.
- (4) IOSUD ANIMV takes measures to prevent and sanction deviations from the norms of

scientific, professional and university ethics, according to the Code of Ethics and Professional Deontology of ANIMV.

- (5) In cases of suspicion of academic fraud, violations of academic ethics or deviations from good scientific conduct, responsibility for academic integrity lies solely with those involved.

**Article 41.**

- (1) If a member of the advisory and academic integrity committee, of the analysis and interpretation of the result of the similarity report of the doctoral theses or graduation papers committee or of the committee for the public defense of the doctoral theses / graduation papers or a member of the university community or another person, during the process of evaluating the doctoral theses / graduation papers or during the public defense of the research theses of the doctoral student / postdoctoral researcher, signals serious deviations from the rules of conduct in scientific research, violations of the ethics and academic integrity, suspects non-compliance copyrights by the doctoral student/postdoctoral researcher, including plagiarism of texts or ideas or falsification or substitution of research results or fabrication of fictitious results in scientific research, that person is obliged to report the situation to the University Ethics Commission of the ANIMV and the Ethics Commission of the institution in which the doctoral supervisor is employed.
- (2) Any natural or legal person may raise concerns regarding potential violations of ethical and professional standards, including plagiarism. Observations may be submitted online, to an e-mail address provided by the doctoral school, or to the IOSUD registry office, for doctoral theses available for public consultation for 90 calendar days, prior to public defense, on the national platform managed by the Executive Unit for Financing Higher Education, Research, Development and Innovation (UEFISCDI). The observations are recorded in a report submitted by the doctoral school, which shall be sent to CSUD for analysis and decision in order to validate the initiation of the procedure for public defense of the doctoral theses and shall be part of the doctoral file on non-compliance with the standards of quality or professional ethics.

**Article 42.**

- (1) Doctoral students / postdoctoral researchers are prohibited from offering, and doctoral supervisors / scientific coordinators, teachers or scientific researchers from accepting gifts or other undue benefits, including the payment or reimbursement of expenses for members of the admission commission for doctoral studies, of the guidance commission or of the commission for public defense of doctoral theses / graduation papers.

**Article 43.**

- (1) Publicly defended doctoral theses (including their attachments) are public documents. They are published on a site administered by MEN and are deposited at the ANIMV Library and the National Library of Romania, in accordance with the copyright legislation in force.

- (2) The protection of intellectual property rights on doctoral theses / graduation papers during and after its public defense, is ensured by storing it in the similarity detection system database, in accordance with the legal provisions of the service agreement for anti-plagiarism verification services and with the consent of the author of the doctoral thesis.
- (3) The exercise of copyright and/or intellectual property rights on the original product or creation written within the doctoral study program / postdoctoral advanced research program shall be made in accordance with the provisions of relevant legislation.
- (4) If the doctoral student does not opt for the separate publication of the thesis or certain chapters thereof, the digital version of the thesis shall be made public and freely accessible on the national platform after the issuance of the order granting the doctoral degree.
- (5) If the doctoral student opts for the separate publication of the doctoral thesis or certain chapters thereof, a maximum grace period of 24 months is granted for the completion of publication. Upon expiration of the grace period, and in absence of any notification to IOSUD ANIMV, concerning the separate publication of the doctoral thesis, the digital version shall be made freely accessible on the national platform.
- (6) After the publication of the doctoral thesis or certain chapters thereof, the author has the obligation to notify IOSUD ANIMV and to submit the bibliographic indication and a link to the publication, which will then be made public on the national platform.

**Article 44.**

- (1) The following positions within ANIMV are incompatible within the meaning of the provisions of the Law of Higher Education No. 199/2023, Article 179, par. (1), and cannot be occupied concurrently by spouses, in-laws and relatives up to and including the third degree:
  - a) the positions of director of CSUD and director of a doctoral school;
  - b) the positions of vice-rector and director of a doctoral school;

**Article 45.**

- (1) A conflict of interests within the meaning of the provisions of the Law of Higher Education No. 199/2023, Article 179, par. (1) refers to a person who is involved in the evaluation process of a doctoral thesis or graduation paper, if the person is a spouse, in-law or relative up to the third degree of the person whose thesis is evaluated, including of the doctoral supervisor.
- (2) The conflict of interest mentioned in par. (1) may occur between the following categories of persons:
  - a) candidates for doctoral studies and members of the selection board for admission to doctoral studies;
  - b) candidates for postdoctoral studies and members of the competition committee for admission to postdoctoral studies;
  - c) doctoral students and doctoral supervisor, members of the advisory and academic integrity committee, members of the committee for analysis and interpretation of the result of the similarity report of the doctoral theses and graduation papers submitted for public defense, members of the committee for public defense of the doctoral

theses / graduation papers;

- d) teaching staff and scientific researchers from the committee for admission to doctoral or postdoctoral studies, from the advisory and academic integrity committee, committee for analysis and interpretation of the result of the similarity report of the doctoral theses and graduation papers submitted for public defense, from the committee for public defense of the doctoral theses / graduation papers.

**Article 46.**

- (1) Any conflicts or misunderstandings in the professional relationship between the doctoral student / postdoctoral researcher and the doctoral school shall be mitigated by CSUD, and in case of failure to resolve the conflict at this level, it shall be mediated by CSUD.
- (2) Any conflicts or misunderstandings in the professional relationship between the doctoral student and the doctoral supervisor/postdoctoral researcher and the scientific coordinator or the members of the advisory and academic integrity committee shall be mitigated by the Doctoral School Council, and if the conflict at this level is not resolved, it shall be mediated by CSUD.

***Section 15. Doctoral degree contract / Postdoctoral degree contract***

**Article 47.**

- (1) As provider of educational services, IOSUD ANIMV concludes with each doctoral student / postdoctoral researcher a contract for doctoral studies or a contract for postdoctoral studies, in accordance with the law.
- (2) The study contract shall be signed by the doctoral candidate, the doctoral supervisor / scientific coordinator, the director of the Doctoral School employing the doctoral candidate / postdoctoral researcher, the legal adviser, chief accountant, and the Rector of ANIMV.
- (3) The study contract and the addendums to the study contract shall be concluded before the beginning of the academic year.
- (4) For every alteration of the clauses of the study contract a new addendum to the study contract shall be concluded and signed by the persons listed under paragraph (2).
- (5) The template of the study contract and addendums to the study contract shall be drafted by CSUD and provided to the doctoral schools.
- (6) The template of the study contract and addendums to the study contract shall be drafted at least 6 months prior to the beginning of the academic year.

**Article 48.**

- (1) Study contracts, addendums to the study contract consist of at least the following information:
  - a) data on IOSUD and Doctoral School;
  - b) identification data of the doctoral candidate and of the doctoral supervisor / postdoctoral researcher and scientific coordinator;
  - c) area of the doctoral studies;

- d) type of doctoral / postdoctoral program;
  - e) form of education;
  - f) type of financing;
  - g) monthly amount of the scholarship / tuition fees, as the case may be;
  - h) research and/or teaching load, according to the framework, for the full-time doctorate;
  - i) duration of the doctoral study program / duration of the postdoctoral program for advanced research;
  - j) research theme;
  - k) language of the study program;
  - l) language used for the doctoral theses and defense of the doctoral theses / graduation papers - Romanian, the language of a national minority or a language used at international level; doctoral theses / graduation papers written in any other language than Romanian shall include a compulsory abstract in Romanian;
  - m) minimal compulsory standards to be met during the study period and for elaborating the doctoral theses / graduation papers;
  - n) deadline for the completion of the doctoral theses / graduation papers;
  - o) conditions for the extension of the deadline for the completion of the doctoral theses / graduation papers; conditions for reducing the study program;
  - p) conditions for interrupting the study program;
  - q) number of teaching activities the doctoral candidate / postdoctoral researcher conducts and the period;
  - r) conditions for changing the doctoral supervisor / scientific coordinator;
  - s) condition for ceasing the study contract;
  - t) information on processing of personal data, according to legislation in force.
- (2) Periods of interruption, extension of the study program shall be registered in addendums to the study contract.

## **Section 16. Final provisions**

### **Article 49.**

- (1) The *Regulation* enters into force on the date it is approved by ANIMV Senate.
- (2) This *Regulation* shall be amended whenever necessary and when relevant legal amendments intervene.
- (3) On the date this Regulation enters into force, the *Regulations for organizing and functioning of IOSUD ANIMV (2024)* is repealed.