

No. 35351 of 02.03.2022



"MIHAI VITEAZUL" NATIONAL INTELLIGENCE ACADEMY

REGULATIONS ON THE ORGANIZATION AND FUNCTIONING OF THE COUNCIL FOR DOCTORAL UNIVERSITY STUDIES (CSUD)

Approved by "Mihai Viteazul" National Intelligence Academy Senate during its meeting on January 27th, 2022

**BUCHAREST
2022**

UNCLASSIFIED

TABLE OF CONTENTS

1. Legal basis	3
2. General provisions.....	3
3. Organizing Institution of Doctoral University Studies ANIMV	4
4. Council for Doctoral University Studies.....	5
5. Director of the Council for Doctoral University Studies	8
6. ANIMV Doctoral Schools	9
7. Final provisions.....	10

Legal basis

Art. 1.

The current regulations are based on the following documents:

1. Law of National Education no. 1 of 2011, with subsequent amendments and additions;
2. Law no. 19 of 2019 for the completion of Law no. 1 of 2011, on the introduction of the doctoral theses into the legal book deposit of National Library of Romania;
3. Law no. 288 of 2004 on the organization of university studies, with subsequent amendments and additions;
4. Law no. 49 of 2013 for the modification of the Article 12 of Law no. 288 of 2004 on the organization of university studies;
5. Government Decision no. 681 of 2011 on the endorsement of the Doctoral Studies Code, including subsequent amendments and additions;
6. Order of the Minister of Education and Research no. 5229 of August 17, 2020 for the approval of the methodologies referring to the granting of the competence certificate, the PhD title as well as to the solving of the complaints in connection to the non-compliance with quality standards or professional ethics, including plagiarism cases, within a doctoral thesis;
7. Order of the Minister of Education no. 5110 of September 17, 2018 on the approval of the minimal national standards referring to the granting of the PhD title;
8. Order of the Minister of Education no. 3131 of January 30, 2018 on the inclusion of ethics and academic deontology courses to education curricula organized by Higher Education Institutions that are part of the national education system;
9. Order of the Minister of Education and Scientific Research no. 6129 of September 20, 2016 referring to the approval of the minimal, necessary and compulsory standards to grant education titles in the higher education system, professional degrees in the research-development field, the status of doctoral supervisor and the competence certificate;
10. Order of the Minister of Education and Research no. 667/03.28.2007 according to which ANIMV has been accredited as Organizing Institution of Doctoral Studies (IOSUD);
11. Order of the Minister of Education no. 3651 of April 12, 2021 on the approval of Assessment Methodology for Doctoral Studies and the systems of criteria, standards and performance indicators used in the assessment.

2. General provisions

Art. 2.

- (1) The regulations on the organization and functioning of the Council for Doctoral University Studies (CSUD) outline the constitution, organization, and operation of the university management structures and the constitution, structuring, and functioning of the academic community specific to IOSUD ANIMV.

Art. 3.

- (1) The organization and functioning regulations of CSUD are drawn up in compliance with the status principles established by ANIMV, referring to organizing and developing the doctoral studies curricula as follows:
 - a) quality professional training for the doctoral students;
 - b) equal opportunities and non-discrimination principle;
 - c) university autonomy applied with responsibility;
 - d) doctoral studies quality assurance;
 - e) coherent evolution during scientific research development;
 - f) transparency referring to the information in relation to organizing, financing and the results of the doctoral studies curricula;
- (2) The organization and functioning regulations of CSUD are elaborated by the Council for University Doctoral Studies, by consulting all the directors of the doctoral schools, in compliance with the legal provisions in force.
- (3) CSUD's organization and functioning regulations are endorsed by CSUD and approved by the ANIMV Senate.

3. Organizing Institution of Doctoral Studies ANIMV**Art. 4.**

- (1) "Mihai Viteazul" National Intelligence Academy (ANIMV) is accredited as Organizing Institution of University Doctoral Studies (IOSUD). In this capacity, IOSUD ANIMV organizes and conducts doctoral studies and advanced postdoctoral programs.
- (2) Doctoral studies are carried out within the framework of the doctoral study programs in provisionally authorized and/or accredited doctoral fields and within the framework of postdoctoral programs in accredited doctoral fields.
- (3) Doctoral studies are carried out in ANIMV's doctoral schools, which are directly coordinated by ANIMV Council for University Doctoral Studies (CSUD).

Art. 5.

- (1) The academic management of IOSUD ANIMV is ensured by the Council for University Doctoral Studies (CSUD), which is a deliberative and decision-making body.
- (2) The executive management of CSUD is provided by the Director of CSUD.

Art. 6.

- (1) IOSUD ANIMV may constitute, at the proposal of the Rector of ANIMV and the Director of CSUD, an Advisory Council of CSUD, composed exclusively of international scientific and research personalities in provisionally authorized or accredited doctoral fields for doctoral studies in ANIMV.
- (2) The Advisory Council of CSUD has the role of supporting CSUD's actions and decisions on: the doctoral studies development strategies, the internationalization of doctoral studies, the mobility of doctoral studies and research and the increase of international and scientific awareness and visibility of IOSUD ANIMV.

4. The Council for Doctoral Studies

Art. 7.

- (1) CSUD requires a minimum of 7 members and a maximum of 17 members.
- (2) CSUD is managed by the director of CSUD, who is also an ex officio member of the CSUD.
- (3) The members of CSUD are:
 - a) Members appointed by the Rector of ANIMV, in a proportion of at least 50% of the total number of CSUD members, PhD supervisors, from ANIMV, from other IOSUD, domestic or abroad, who meet the minimum and mandatory standards for granting the competence certificate, approved by the order of the Minister of Education and Research, in force on the date of their appointment to the CSUD.
 - b) At least 1 member elected as a representative of the PhD supervisors appointed by universal, direct, and secret vote of the PhD supervisors of IOSUD ANIMV;
 - c) At least 1 member elected as a representative of the PhD students appointed by universal, direct, and secret vote of the PhD students of IOSUD ANIMV;
- (4) Decisions of CSUD are made by the simple majority of the present members. Session quorum is made by the attendance of the two thirds out of the total members of CSUD, but with the mandatory presence of at least 1 representative of the PhD students.
- (5) Decisions for which CSUD has approval powers shall be submitted for information to the ANIMV Board of Trustees; decisions for which CSUD has the power of endorsement shall be submitted for approval to the ANIMV Senate and the ANIMV Board of Trustees, according to their attributions.
- (6) CSUD meetings regularly take place once a month, but not less than twice per semester. Extraordinary meetings are held at the request of the CSUD Director or at the request of at least one third of the CSUD members.
- (7) The mandate of CSUD is of 4 years.
- (8) Duration of a CSUD member mandate is of 4 years.
- (9) The representatives of the PhD supervisors in CSUD are elected by universal, direct, secret, and equal vote of the PhD supervisors of IOSUD ANIMV. PhD supervisors from IOSUD ANIMV, university teaching staff or scientific researchers, who meet the minimum and compulsory standards which are necessary to receive the competence certificate in force on the date of application for CSUD are entitled to stand as candidates. All PhD supervisors, members of IOSUD ANIMV, have the right to elect.
- (10) The representatives of the PhD students in CSUD are elected by universal, direct, secret, and equal vote of the PhD students enrolled in IOSUD ANIMV. The students performing in the training program based on advanced university studies and the students performing in the individual scientific research program, except for those who chose to interrupt their timeframe of doctoral studies, have the right to candidate. All PhD students enrolled to IOSUD ANIMV have the right to choose. The mandate is valid to the date of the graduation of the doctoral studies, not considering the interrupted timeframe of doctoral studies.
- (11) In order to fill the empty positions during a CSUD mandate, partial elections will be

UNCLASSIFIED

organized, for the representatives of the PhD supervisors, members of IOSUD ANIMV, as well as for the representatives of the PhD students enrolled to IOSUD and for the vacant positions of the scientific figures/experts in the field, the Rector of ANIMV will appoint representatives for a part-time mandate.

(12) The term of office of the members of CSUD shall cease in the following situations: death, retirement, loss of membership, retirement from office, expiry of the term of CSUD mandate.

(13) Part-time mandates of CSUD members will come to an end on expiry of the term of CSUD mandate.

Art. 8.

(1) CSUD has the following attributions in ensuring the functioning of IOSUD ANIMV:

- a) Approves the organizational and operational regulations of the ANIMV doctoral schools;
- b) Approves the results of the competence processes in order to attain the doctoral supervisor status of the doctoral school councils and forwards them the National Council for the Attestation of Titles, Diplomas and University Certificates (CNATDCU) for validation;
- c) Approves the results of the periodic self-assessment of the doctoral studies areas, conducted by the doctoral schools, every five years;
- d) Endorses the *Regulations on the Organization and Functioning of CSUD* and submits it to the ANIMV Senate for approval;
- e) Endorses the *Methodology for Appointing CSUD Director* and submits it to the ANIMV Senate for approval;
- f) Endorses the *Methodology for the Organization and Conduct of the Admission Contest to Doctoral Studies in ANIMV* and submits it to the ANIMV Senate for approval;
- g) Endorses the *Methodology for Organizing and Conduct of the Admission Contest for postdoctoral advanced research programs in ANIMV*, and submits it to the ANIMV Senate for approval;
- h) Endorses agreements and partnerships/consortia proposed by CSUD Advisory Council and submits it to the ANIMV Senate for approval;
- i) Reviews and resolves the decisions of Doctoral Schools Councils, forwarding them to the ANIMV Senate or the ANIMV Board of Trustees, if necessary;

Art. 9.

(2) CSUD has the following attributions in providing doctoral study programs:

- a) approves the necessary procedures for carrying out in good conditions the specific activities of the doctoral programs conducted in the doctoral schools;
- b) approves the curricula of doctoral study programs in provisionally authorized or accredited doctoral study fields;
- c) endorses the composition of the commissions for public presentation of the doctoral theses;
- d) endorses the internal assessment reports of the doctoral study programs and of the provisionally authorized or accredited doctoral study fields;
- e) endorses the change of the PhD supervisor (permanent internal mobility) and the redistribution of the PhD students to the PhD supervisors in the doctoral schools,

respectively;

- f) endorses the criteria and the assessment indicators of the scientific research essays and of the doctoral theses by the doctoral guiding commissions and the public defense commissions of the doctoral theses, proposed by the doctoral school councils;
- g) endorses the requests of the PhD supervisors to become members of doctoral schools;
- h) suggests the granting or the recall of the doctoral school member title for the PhD supervisors, considering the minimal standards of scientific performance;
- i) suggests the enrollment of the admitted candidates and the expulsion of the PhD students, respectively, at the initiative of the doctoral school councils;
- j) approves the results of the periodic self-assessment of the doctoral study fields carried out by the doctoral schools every five years;
- k) endorses the *Regulations for the Organization and Conduct of the doctoral study programs in IOSUD ANIMV* and submits it to the ANIMV Senate for approval;
- l) Endorses the Curricula of the doctoral study programs and of the provisionally authorized or accredited doctoral study fields, and submits it to the ANIMV Senate for approval;

Art. 10.

- (1) CSUD has the following attributions in providing advanced research postdoctoral study programs:
 - a) approves the necessary procedures for carrying out in good conditions of the specific activities of the postdoctoral advanced research programs in the doctoral schools;
 - b) approves the curricula of postdoctoral advanced research programs in accredited doctoral study fields;
 - c) endorses the membership of the commissions for public presentation of the graduation scientific papers of the postdoctoral advanced research program;
 - d) endorses the internal assessment reports of the postdoctoral advanced research programs in accredited doctoral study fields;
 - e) endorses the change of the scientific supervisor (permanent internal mobility) and the redistribution of the postdoctoral students to the scientific supervisors in the doctoral schools, respectively;
 - f) endorses the criteria and the assessment indicators of the scientific research essays and of the graduation scientific papers by the postdoctoral guiding commissions and the public presentation commissions of the scientific graduation theses, proposed by the doctoral school councils;
 - g) endorses the requests of the PhD supervisors to become scientific coordinators of doctoral schools;
 - h) suggests the enrollment of the admitted candidates and the expelling of the postdoctoral researchers, at the initiative of the doctoral school councils;
 - i) endorses the *Regulation for the Organization and Conduct of the postdoctoral advanced research programs in IOSUD ANIMV* and submits it to the ANIMV Senate for approval;
 - j) Endorses the Curricula of the postdoctoral advanced research programs in accredited doctoral study fields, and submits it to the ANIMV Senate for approval.

5. Director of the Council for University Doctoral Studies

Art. 11.

- (1) The Director of CSUD provides the operational management of CSUD and represents IOSUD ANIMV in inter-institutional relations.
- (2) The Director of CSUD is appointed following a public competition organized by IOSUD ANIMV.
- (3) The mandate of CSUD Director has a duration of 4 years.
- (4) The attributions of CSUD Director are the following:
 - a) Conducts the sessions of CSUD and is an ex officio member of it;
 - b) Coordinates the development work of the *Regulations on the Organization and Functioning of CSUD*, examines the final form of the project and submits it to be endorsed by CSUD members;
 - c) Monitors the activities of the organization, development, and administration of doctoral study programs in the provisionally authorized and accredited fields, in accordance with the provisions of CSUD ANIMV Regulations and of the legislation in force;
 - d) Proposes for CSUD's approval the Strategy for the development of doctoral study programs for each of the provisionally authorized or accredited doctoral study fields in IOSUD ANIMV;
 - e) Proposes for CSUD's approval the internationalization strategies of university study programs, the strategies to increase international awareness and notoriety of international agreements in the field of scientific and doctoral research proposed by CSUD Advisory Board;
 - f) Proposes to CSUD and to the doctoral school inter-institutional agreements and scientific research project agreements in provisionally authorized and accredited fields;
 - g) Proposes the necessary methodologies for carrying out in good conditions the specific activities of the doctoral programs in ANIMV, in accordance with the *Regulations on the Organization and Functioning of CSUD*, the *Regulations on the Organization and Conduct of Doctoral Study Programs in IOSUD ANIMV*, the *Regulations on the Organization and Conduct of Postdoctoral Programs in IOSUD ANIMV* and with the legal provisions in force, and submits it to CSUD for approval;
 - h) Conducts the internal assessment activities of IOSUD ANIMV and doctoral schools, based on the methodologies in force, and proposes to CSUD the internal assessment report of IOSUD ANIMV;
 - i) Ensures the display of at least the following documents and information on the *animv.ro* website:
 1. Regulations on the Organization and Functioning of CSUD;

2. The regulations of doctoral and postdoctoral study programs in provisionally authorized or accredited doctoral fields;
 3. The methodologies for specific CSUD activities;
 4. Information on the membership of CSUD;
 5. Information on the membership of CSUD Advisory Board;
 6. Information on the strategies and policies for the development of doctoral studies and their internationalization.
- j) Ensures the prevention and sanctioning of potential deviations from the rules of academic ethics and deontology and of scientific and professional research, according to the Code of Deontology and Academic Ethics of ANIMV.

Art. 12.

- (1) The following positions in ANIMV are incompatible as referred to Art. 295, paragraph 4 in the Law of National Education No. 1/2011, including subsequent amendments and additions, and cannot be simultaneously occupied by spouses, persons related by marriage and relatives up to and including the third-degree kin:
- a) The position of rector of ANIMV and the position of director of CSUD;
 - b) The position of chairman of ANIMV Senate and the position of director of CSUD;
 - c) The position of vice-chancellor and the position of director of CSUD;
 - d) The position of director of CSUD and the position of director of a doctoral school.

6. ANIMV Doctoral Schools

Art. 13.

- (1) "Mihai Viteazul" National Intelligence Academy, as organizing institution for doctoral studies, establishes, organizes and ensures the operation of doctoral schools for accredited and provisionally authorized doctoral fields.

Art. 14.

- (1) The proposal for the establishment, dissolution or merger of doctoral schools may be submitted to CSUD by the Director of CSUD or by the directors of the doctoral schools. CSUD approves or rejects the proposal of establishment, dissolution or merger of doctoral schools. In case of approval of the establishment or merger of doctoral schools, CSUD will consider ensuring the minimum legal standards for the functioning of doctoral schools. In case of dissolution, CSUD will determine the method of winding up the doctoral programs carried out within the dissolved doctoral school.
- (2) The proposal for a new doctoral studies field may be submitted to CSUD by the Director of CSUD, by the directors of the doctoral schools or by the doctoral supervisors authorized in the new field of doctoral studies. In case of approval of the proposal for a new field of doctoral studies, CSUD will consider ensuring the minimum legal standards for the functioning of the field of doctoral studies.
- (3) CSUD decision will be submitted to the ANIMV Senate for approval.

Art. 15.

- (1) A doctoral school can be established if at least the following minimum legal standards are met:
 - a) There is at least one field of doctoral studies in which there are at least 3 PhD supervisors, tenures or associates of IOSUD ANIMV, who meet the minimum and mandatory standards for granting the competence certificate, approved by order of the Minister of Education and Research, in force at the date of the establishment of the doctoral school, and who have expressed their intention to work in the doctoral school.
 - b) ANIMV has the scientific resources and facilities necessary to carry out scientific research in the doctoral field under the best conditions;
 - c) ANIMV has the budgetary or extra-budgetary resources for the financial sustainability of the doctoral school's activities;
 - d) ANIMV has identified interests and opportunities within the scientific and academic environment, the Romanian Intelligence Service and the national security, defense, and public order apparatus, from the economic, cultural, and social environment that ensures and contributes to the achievement of the PhD school's objectives and that can provide resources (human, informational, financial, material) for the functioning of the doctoral school.
- (2) Doctoral schools operate under direct coordination of CSUD. Each doctoral school is headed by a doctoral school director, who provides the operational management of the doctoral school, and by a Doctoral School Council, as a deliberative university management structure.

7. Final provisions**Art. 16.**

- (1) The present *Regulations* shall enter into force starting with the second semester of the academic year 2021-2022.
- (2) The present *Regulations* shall be updated whenever necessary and whenever legal changes may occur.
- (3) The *Regulations on the Organizing and Conduct of Doctoral Study Programs in IOSUD ANIMV* (2020, including subsequent amendments and additions) shall be repealed on the date when the present *Regulations* enter into force.